



2874

7217/64309

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of: Shinichiro HARUYAMA

Serial No.: 09/824,911

Filed: April 3, 2001

For: RECEIVING APPARATUS, TRANSMITTING APPARATUS, AND
COMMUNICATION SYSTEM

Group A.U.: 2874

Date: November 29, 2001

Assistant Commissioner for Patents
P.O. Box 2327, Arlington, VA 22202

Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

No fee is required.

The fee has been calculated as shown below.

Total claims in excess of _____ previously paid for, at \$18 (\$9) _____

Independent claims in excess of _____ previously paid for, at \$84 (\$42) _____

Additional Fee for this Amendment _____

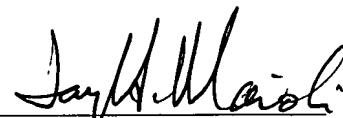
This Response is being filed within the _____ first month, _____ second month, _____ third month, _____ fourth month, _____ fifth month following the expiration of the term originally set therefor. Applicants Petition for an extension, and the fee of _____ \$110 (\$55), _____ \$400 (\$200), _____ \$920 (\$460), _____ \$1,440 (\$720), _____ \$1,960 (\$980) is due and paid herewith.

The fee of \$_____ set by 37 C.F.R. § 1.17(p) for the Information Disclosure Statement is due and paid herewith.

A check in the amount of \$ 0.00 is attached.

Please charge any additional fees or credit any overpayment to Deposit Account No. 03-3125.

COOPER & DUNHAM, LLP



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7217/64309
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shinichiro HARUYAMA

Serial No.: 09/824,911

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AND COMMUNICATION SYSTEM

Group A.U.: 2874

I hereby certify that this paper is being deposited this date with
the U.S. Postal Service as first class mail addressed to :
Assistant Commissioner for Patents, P.O. Box 2327,
Arlington, Virginia 22202

JAY H. MAIOLI
Reg. No. 27,213

Date 11.29.01

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November 29, 2001
1185 Avenue of the Americas
New York, NY 10036
(212) 278-0400

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
P.O. Box 2327, Arlington, VA 22202

Sir:

Prior to the initial examination of the above-identified application, Applicant respectfully requests that the application be amended as follows:

IN THE ABSTRACT OF THE DISCLOSURE

Please amend the Abstract of the Disclosure by rewriting same to read as follows:

---A receiving apparatus and transmitting apparatus capable of transmitting and receiving a high speed optical